**QUESTIONNAIRE[[1]](#footnote-1)**

**for identification of a client[[2]](#footnote-2)– an individual, sole proprietor, individual carrying out professional activities according to the procedure provided for by the laws of the Russian Federation, for the purpose of detection of the persons that are subject to the laws of a foreign country on foreign account taxation, including the FATCA Act.[[3]](#footnote-3)**

|  |  |  |
| --- | --- | --- |
| **No.** | **Name** | **Information** |
| **Personal data** | | |
| 1 | Surname, name and patronymic (if applicable) |  |
| 2 | Date of birth |  |
| 3 | Place of birth (indicate only the country)  (If you are born in the US, submit one of the documents specified in clause 9) | □ Russia □ US □ Other, indicate the country\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 4 | Nationality (indicating all countries, where you are a citizen).  (If you are a US citizen or you received a Green Card, it is required to submit form W-9 to the Bank[[4]](#footnote-4)) | □ Russia □ US  □ Other, indicate the country \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  □ Stateless |
| 5 | Information on the identification document | □ Passport □ Other (name) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Series \_\_\_\_\_\_\_\_ Number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date of issue \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 6 | Place of residence (registration) | Country: □ Russia □ US □ Other (indicate the country) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  city \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ street \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  house \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ bldg./block \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ apartment \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 7 | Information on all tax residences (indicating INN (Taxpayer Identification Number) if available) | □ Russia, INN \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (if INN is available)  □ US, TIN (SSN, ITIN, ATIN)[[5]](#footnote-5)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ □ Form W-9 is submitted  □ Country \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ INN \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  □ All tax residences are indicated |
| 8 | The valid power of attorney issued to you by the person entitled to manage the account | □ no (none was issued) □ yes[[6]](#footnote-6) (the power of attorney is submitted to the Bank) |
| **Requirements for submission of confirming documents** | | |
| 9 | If you are born in the US, indicate if you made renunciation of the US nationality or you have other grounds for absence of the US nationality.  (Explanations may be in any form, written by hand, containing the date and signature) | □ Not applicable (not born in the US)  □ Din not relinquish nationality (the US citizen) □ Form W-9 is submitted  □ Yes, relinquished nationality. It is required to submit to the Bank the documents confirming renunciation:  □ Certificate of Loss of Nationality of the United States[[7]](#footnote-7) (under form DS 4083 of the Bureau of Consular Affairs of the US Department of State), or  □ Written explanation of the reason for renunciation of the US nationality, or  □ Written explanation of the reason for non-receipt of the US nationality. |
| **Confirmation of reliability of the said information** | | |
| 10 | Hereby I represent and warrant that the information I submitted to the Bank is relevant and reliable. In case of change of the submitted information I will immediately, within 15 working days from the date of change, notify the Bank thereof and submit confirming documents. | |
| 11 | For the purpose of compliance with the requirements of FATCA, hereby I give to Public Joint-Stock Company Bank “TRUST” (the “Bank”) located at the address: Izvestkoviy lane, 3, Moscow, 109004, Russian Federation, my consent to treatment of my personal data, which include the data and information on my surname, name, patronymic, date and place of birth, nationality, identification document, taxpayer identification number, place of residence (registration, stay), telephone number and other data contained in this questionnaire, including to collection, recording, systematization, accumulation, storage, adjustment (updating, modification), extraction, usage, depersonalization, prohibition, deletion, destruction of personal data using automation devices or without the use of such devices and transfer (distribution, provision, access) of such data to a foreign tax authority, including IRS (the US Internal Revenue Service) and/or foreign withholding agents, as well as my consent to transfer to the said persons of the data on operations, transactions, accounts and deposits. | |
| 12 | Hereby I confirm that I am familiarized with the meaning of the notion of a “US person”.[[8]](#footnote-8) | |
| 13 | Full name and signature |  |
| 14 | Date |  |
| 15 | Full name, position and signature of the employee of the Bank |  |

We additionally inform you that if the Client filling in this Questionnaire is a citizen of the RF (Russian Federation) and does not have a residence permit of another country or nationality of another country (except for nationality of a member country of the Customs Union), the personified information contained in this Questionnaire is not subject to cross-border transfer to a foreign tax body and/or foreign withholding agents authorized by a foreign tax body to collect foreign taxes and charges and data on transactions, accounts, deposits, balances of accounts/deposits and information on operations on the accounts are not subject to transfer to the said persons either. The Bank makes its best efforts to protect confidentiality and prevent disclosure to third parties of the data received at identification of the Client.

1. It is required to fill in all the fields. [↑](#footnote-ref-1)
2. If a third party addresses to the Bank (including a potential client’s legal representative), it is required to fill in this Questionnaire for both the potential client and the representative. [↑](#footnote-ref-2)
3. **FATCA** is the US Foreign Account Tax Compliance Act. [↑](#footnote-ref-3)
4. **Form W-9** is the form of the US Internal Revenue Service, which is used to identify the status of a payment recipient. Form W-9 is used for disclosure by the US tax resident of his/her/its taxpayer identification number (TIN) (available here: http://www.irs.gov/pub/irs-pdf/fw9.pdf) [↑](#footnote-ref-4)
5. There are the following types of the US TINs: social security number (SSN); employer identification number (EIN); individual taxpayer identification number (ITIN); the US adoption taxpayer identification number (ATIN); preparer tax identification number (PTIN). [↑](#footnote-ref-5)
6. If there exists a power of attorney (exist powers of attorney) to manage the account with the Bank, it is required that each proxy fills in this Questionnaire. [↑](#footnote-ref-6)
7. Certificate of Loss of Nationality of the US (CLN) under the form of the Bureau of Consular Affairs of the US Department of State prepared by an employee of the consular service of the United States confirming renunciation of the US nationality. The form is approved by the US Secretary of State based on the Immigration and Nationality Act of 1952 <http://www.state.gov/documents/organization/81609.pdf> [↑](#footnote-ref-7)
8. **“US person”** means an individual, who is a US citizen or a US tax resident. If you doubt if you have the status of a US tax resident, we recommend you to consult a professional tax adviser.

   According to the general rule you may be deemed to be a US tax resident if:

   1. You are born in the US; OR
   2. You are a US citizen or you have the US Green Card; OR
   3. You meet the criterion of substantial presence. You meet the said criterion if you are physically present in the US at least on:
      1. 31 days during the current year, and
      2. 183 days during the three-year period, which consists of the current year and the two years directly preceding the current year, calculated as follows:
         1. All days when you were in the US during the current year; AND
         2. 1/3 days when you were in the US during the first year preceding the current year; AND
         3. 1/6 days when you were in the US during the second year preceding the current year.

   [↑](#footnote-ref-8)